

SEP 27 2004

**Fax transmission from:**

U.S. Naval Research Laboratory
Associate Counsel Intellectual Property, Code 1008.2
4555 Overlook Avenue, SW
Washington, DC 20375-5325

From: Joe Grunkemeyer

Phone: (202) 404-1556

Fax: (202) 404-7380

Date: 09/27/2004**To:** Examiner Will, Monique M.

Art Unit 1746

Fax: (703) 872-9306

Cover Sheet + 8 Pages**Message:**

RE: Patent Application No.: 10/644,558

Filed: 08/19/2003

Inventor: Stanish et al

Docket No.: NC 84,696

Fee Transmittal – 1 page

Request to Correct Inventorship – 1 page

Declaration – 3 pages

Statement of Lack of Deceptive Intent – 1 page

Consent of Assignee – 1 page

Statement under 37 CFR 3.73(b) – 1 page

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PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0651-0032
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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$ 130)

Complete if Known

Application Number	10/644,558
Filing Date	08/19/2003
First Named Inventor	Stanish
Examiner Name	Will, Monique M.
Art Unit	1746
Attorney Docket No.	NC 84,696

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit
Account
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Deposit
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Name

50-0281

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The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims		Extra Claims		Fee from below		Fee Paid	
Independent	Multiple Dependent	-20**	-3**	X			

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$)

**or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) Correction of Inventorship


130

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 130)

SUBMITTED BY

(Complete if applicable)

Name (Print/Type)	Joseph T Grunkemeyer	Registration No. (Attorney/Agent)	46,746	Telephone	202-404-1556
Signature		Date	9/27/04		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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SEP 27 2004
PATENT APPLICATION
Docket No.: NC 84,696

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of: Stanish et al.
Serial No.: 10/644,558
Filed: 08/19/2003
For: BIOBASED MICROBATTERY
Examiner: Will, Monique M.
Art Group Unit: 1746

Honorable Commissioner of Patents
PO Box 1450
Alexandria, VA 22313-1450

September 27, 2004

REQUEST TO CORRECT INVENTORSHIP

Sir:

This request is submitted pursuant to 37 CFR 1.48(a) to correct the inventorship of this patent application. An inventor was mistakenly omitted from the Declaration. Please add Daniel A. Lowy of Woodbridge, Virginia as an inventor. The error occurred without deceptive intent. Attached are a statement of lack of deceptive intent from Daniel A. Lowy, a new Declaration, Consent of the Assignee, including a Statement under 37 CFR 3.73(b), and Fee Transmittal.

In the event that a fee is required, please charge the fee to Deposit Account No. 50-0281, and in the event that there is a credit due, please credit Deposit Account No. 50-0281.

Respectfully submitted,



Joseph T. Grunkemeyer
Reg. No. 46,746
Phone No. 202-404-1556
Office of the Associate Counsel
(Patents), Code 1008.2
Naval Research Laboratory
4555 Overlook Ave, SW
Washington, DC 20375-5325

CERTIFICATE OF FACSIMILE TRANSMISSION

I certify that this correspondence is being facsimile transmitted to the US Patent and Trademark Office on the date shown below.

9/27/04
Date

JT Grunkemeyer
Joseph T. Grunkemeyer

SEP 27 2004
PATENT APPLICATION
Docket No.: NC 84,696

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of: Stanish et al.
Serial No.: 10/644,558
Filed: 08/19/2003
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Examiner: Will, Monique M.
Art Group Unit: 1746

Honorable Commissioner of Patents
PO Box 1450
Alexandria, VA 22313-1450

STATEMENT OF LACK OF DECEPTIVE INTENT BY DANIEL A. LOWY

Sir:

My name was omitted from the inventorship of the above-referenced patent application.

The error occurred without any deceptive intent on my part.

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

9/22/04

Date



Daniel A. Lowy

09/27/04 MON 14:42 FAX 202 404 7380

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SEP 27 2004

002

PATENT APPLICATION
Docket No.: NC 84,696

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of: Stanish et al.
Serial No.: 10/644,558
Filed: 08/19/2003
For: BIOBASED MICROBATTERY
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Art Group Unit: 1746

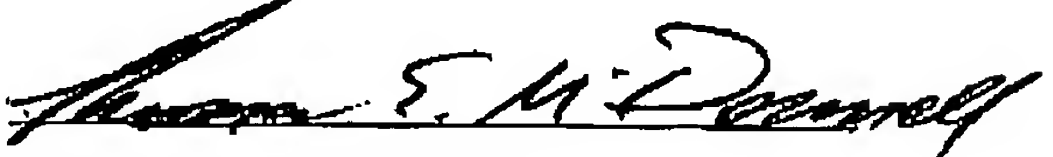
Honorable Commissioner of Patents
PO Box 1450
Alexandria, VA 22313-1450

CONSENT OF ASSIGNEE TO CHANGE IN INVENTORSHIP

Sir:

The United States Government as represented by the Secretary of the Navy, as the assignee of the above-reference patent application, consents to the addition of Daniel A. Lowy to the inventorship of this patent application. A Statement under 37 CFR 3.73(b) is attached. The below-signed is authorized to act on behalf of the assignee.

27 Sept 2004
Date


Thomas E. McDonnell
Patent Counsel of the Navy

PTO/BA/56 (08-03)

Approved for use through 07/31/2006. OMB 0851-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: United States Government as represented by the Secretary of the NavyApplication No./Patent No.: 10/044,556 Filed/Issue Date: 08/18/2003Entitled: BIOBASED MICROBATTERYUnited States Government - Navy

(Name of Assignee)

, a government agency

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or2. ☐ an assignee of less than the entire right, title and interest.

The extent (by percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 014188, Frame 0985, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

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2. From: _____ To: _____

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3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.☐ Copies of assignments or other documents in the chain of title are attached.

(NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08)

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

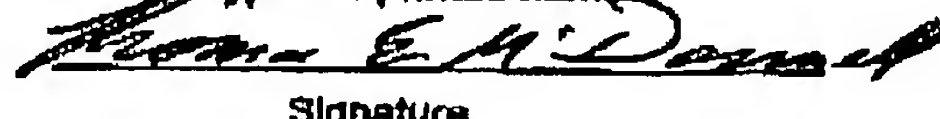
Date

703-686-4000

Telephone number

Thomas E. McDonnell

Typed or printed name



Signature

Patent Counsel of the Navy

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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